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SALES hereby certify that annexed is a true copy of the Provisional specification
in connection with Application No. PQ3207 for a patent by
THE UNIVERSITY OF SYDNEY
THE AUSTRALIAN NATIONAL UNIVERSITY
AUSTRALIAN PHOTONICS PTY LTD
KOREA TELECOM RESEARCH AND DEVELOPMENT GROUP
filed on 30 September 1999.



WITNESS my hand this
Seventeenth day of August 2000

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TEAM LEADER EXAMINATION
SUPPORT AND SALES

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PROVISIONAL SPECIFICATION

Applicant(s):

THE UNIVERSITY OF SYDNEY

THE AUSTRALIAN NATIONAL UNIVERSITY

AUSTRALIAN PHOTONICS PTY LTD

KOREA TELECOM RESEARCH AND DEVELOPMENT GROUP

Invention Title:

NON-ZERO DISPERSION SHIFTED OPTICAL FIBRE

The invention is described in the following statement:

NON-ZERO DISPERSION SHIFTED OPTICAL FIBRE

FIELD OF THE INVENTION

This invention relates to a single mode optical
5 waveguide fibre of a type that exhibits low but non-zero
dispersion at a wavelength λ typically in the order of 1550
nm. The fibre is referred to in this specification and
more generally as a non-zero dispersion shifted fibre.

10 BACKGROUND OF THE INVENTION

A conventional single mode fibre (SMF) typically
exhibits zero dispersion in the 1310 nm wavelength region,
but high dispersion (in the order of $-17 \text{ ps nm}^{-1}\text{km}^{-1}$) in the
1550 nm region. Dispersion shifted fibre (DSF) has been
15 developed to take advantage of the inherently low
attenuating properties of optical fibre at 1550 nm and the
availability of fibre amplifiers, but dispersion shifted
fibre exhibits enhanced non-linear effects such as four-
wave mixing (FWM) and self-phase modulation (SPM). Non-
20 zero dispersion shifted fibre (NZDSF) has been developed to
avoid the non-linear effects of the DSF fibre and for use
in telecommunication systems that employ high power lasers,
high bit rate transmissions and wavelength division
multiplexing (WDM). Non-zero dispersion shifted fibre
25 typically has a zero dispersion wavelength positioned
slightly outside of the range 1530 nm to 1570 nm.

Prior art non-zero dispersion shifted fibres that have
been sold commercially and described in the relevant
literature have a central core region and at least one
30 circularly symmetrical annular region positioned within the
light guiding region of the fibres. The central core
region has an average refractive index which is different
from that of the surrounding annular region and, in the
case of a fibre having two annular regions, the outer
35 annular region has an average refractive index that is
higher than that of the inner annular region. The average

refractive index of the core region normally is greater than that of both of the annular regions.

SUMMARY OF THE INVENTION

5 The present invention has evolved from the development of a fibre geometry that permits a greater number of degrees of freedom to be exploited in the design of non-zero dispersion shifted optical waveguide fibre for use in various applications.

10 Broadly defined, the present invention provides a single mode optical waveguide fibre having a light guiding region and a surrounding cladding. The light guiding region of the fibre includes an axially disposed central core region, which is located within a core-surrounding
15 region, and at least three angularly separated regions which are disposed outwardly from the central core region. The central core region has an average refractive index n_0 , the core-surrounding region has an average refractive index $n_1 < n_0$, and each of the angularly separated regions has an
20 average refractive index $n_2 \neq n_1$.

 The outwardly disposed, angularly separated regions may be considered as "side core regions" and are hereinafter referred to as such.

25 The invention as above defined differs from known non-zero dispersion shifted fibres, in that the side core regions are provided in lieu of the annular regions that surround the central core in the known fibres. Thus, the fibre in accordance with the present invention does not have circular symmetry in cross-section, although two or
30 more of the side core regions may be positioned on a common notional circle.

 The characteristics of the fibre in accordance with the present invention may be varied from one fibre to another by varying any one or more of the following
35 elements in the fibre:

(a) The average refractive index n_0 and the radial profile of the refractive index of the central core region of the fibre.

5 (b) The cross-sectional area of the central core region of the fibre.

(c) The average refractive index n_1 and the radial profile of the refractive index of the region surrounding the central core region of the fibre.

10 (d) The cross-sectional area of the region surrounding the central core region of the fibre.

(e) The average refractive index n_2 and the radial and circumferential profiles of the refractive index of the side core regions of the fibre.

15 (f) The cross-sectional area of each of the side core regions of the fibre.

(g) The configuration of each of the side core regions of the fibre.

(h) The number of the side core regions in the fibre.

20 (i) The spatial relationship of the side core regions in the fibre.

PREFERRED FEATURES OF THE INVENTION

The side core regions preferably are positioned equi-
angularly around the central core region and preferably
25 have a common cross-sectional configuration. However, the side core regions may be positioned and configured in an irregular manner, provided that the overall geometry does not give rise to unwanted artefacts, for example unwanted birefringence.

30 The optical fibre in accordance with the present invention most preferably has four equi-angularly positioned side core regions, and all four of the side core regions preferably have a common cross-sectional size and configuration. Furthermore, each of the side core regions
35 in a fabricated fibre preferably has a generally arcuate configuration.

The invention will be more fully understood from the following description of a preferred embodiment of a single mode non-zero dispersion shifted optical fibre and a preferred method of forming a preform from which the optical fibre may be drawn. The description is provided with reference to the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

In the drawings -

Figure 1 shows a diagrammatic (idealised) representation of a cross-section of one optical fibre.

Figures 2A and 2B show refractive index profiles that are applicable to the optical fibre shown in Figure 1 and as seen in the directions of section planes A-A and B-B in Figure 1.

Figure 3 shows a cross-sectional representation of an optical fibre that has been designed to exhibit a very small dispersion slope over the wavelength region 1530 to 1570 nm.

Figures 4A and 4B show refractive index profiles that are applicable to the optical fibre shown in Figure 3 and as seen in the directions of section planes A-A and B-B in Figure 3.

Figure 5 shows a cross-sectional representation of an optical fibre that has been designed to exhibit a non-linear effective area in the order of $100 \mu\text{m}^2$.

Figures 6A to 6B show refractive index profiles that are applicable to the optical fibre shown in Figure 5 and as seen in the directions of section planes A-A and B-B in Figure 5.

DETAILED DESCRIPTION OF THE INVENTION

In making reference to the drawings, Figure 1 shows a diagrammatic representation of the cross-section of one form of an optical fibre that embodies the present invention. However, it will be understood that the various

concentric regions that are shown in Figure 1 are not drawn to scale. The diameter of a cladding portion 10 of the fibre will typically have a diameter in the order of 30x that of a central core region 11 of the fibre.

5 The region of the fibre through which a major portion of transmitted light is guided (herein referred to as "the light guiding region") may be considered for convenience as being bounded by the inner margin 12 of the cladding 10 in the fibre as illustrated in Figure 1. More specifically,
10 the light guiding region includes the central core region 11 and four angularly spaced side core regions 13, each of which is disposed radially outwardly from the central core 11.

 The central core region 11 is located within a core-surrounding region 14 which extends outwardly to the inner
15 margin 12 of the cladding and, as illustrated, the side core regions 13 are disposed within the core-surrounding region 14. However, it should be understood that the boundary 12 between the core surrounding region 14 and the
20 cladding 10 may not clearly be delineated and that the side cores 13 may be disposed at least partially within the cladding 10 of the fibre, as in the fibre that is illustrated in Figure 5. With this in mind it will be
understood that the light guiding region may extend into
25 the cladding 10 and not be bounded by the inner margin 12 of the cladding.

 The relationship of the refractive indexes of the various regions of the optical fibre will be dependent upon the characteristics required of the fibre for any given
30 application. However, as an example, the central core region 11 and the side core regions 13 may have average refractive indexes n_0 and n_2 that are enhanced relative to that of undoped silica, and the core surrounding region 14 may have an average refractive index n_1 that is depressed
35 relative to that of undoped silica. These index

relationships are indicated in Figures 2A and 2B in respect of the fibre cross-section that is illustrated in Figure 1.

The fibre has four equi-angularly spaced side core regions 13, although it will be understood that the fibre may be fabricated with three or more side core regions. Again depending on the characteristics required of the fibre, the side core regions 13 will normally be disposed on a common circle, that is at equal radial distances from the axis of the fibre, and the side core regions 13 will have substantially the same cross-sectional configurations. As illustrated, each of the side core regions 13 has a generally arcuate cross-sectional configuration.

The refractive index profiles of the above described fibre, as seen in the directions of section planes A-A and B-B, are shown in Figures 2A and 2B.

The fibre as illustrated in Figure 1 may be manufactured in various ways, one of which is described briefly as follows by way of example.

The fibre will be drawn from a preform that is fabricated using modified chemical vapour deposition of required material within an undoped silica tube. Portions of the preform corresponding to the side core regions 13 will be formed by depositing doped silica to a required thickness within the silica tube and by etching away portions of the deposited material to leave four equi-spaced longitudinally extending lands of the doped silica. Thereafter, further layers of differently doped silica will be deposited within the tube, including over the lands to form the core-surrounding region 14 and the central core region 11 of the fibre to be drawn from the preform. Finally, the entire structure, including the deposited material, will be collapsed in the usual manner to form a solid preform from which the fibre may be drawn.

Figure 3 shows a diagrammatical representation of the cross-section of a second form of optical fibre that embodies the features of the present invention. This is

similar to that shown in Figure 1 and like reference numerals are employed to indicate like elements.

Characteristic features of the fibre as illustrated in Figure 3 are summarised as follows:

Diameter of cladding 10 125 μm
Diameter of core region 11 8.4 μm
Diameter (12) of core-surrounding region 14 20 μm
Dimension of each side core 13 1.72 x 6.36 μm
10 Radial displacement of each side core 8.0 μm
Refractive index peak of cladding 10 1.444
Refractive index peak of core region 11 1.454
Refractive index peak of side cores 14 1.454 (uniform)
Refractive index peak of core-surrounding region
15 1.441

The refractive index profiles of the fibre of Figure 3 as seen in the directions of section planes A-A and B-B are shown in Figures 4A and 4B respectively.

The fibre as represented in Figures 3 and 4 exhibits a substantially constant dispersion across the EDFA band, and properties of the fibre at a wavelength of 1550 nm are summarised as follows:

Dispersion +3.41 $\text{ps nm}^{-1}\text{km}^{-1}$
Dispersion slope -0.004 $\text{ps nm}^{-2}\text{km}^{-1}$
25 Cutoff wavelength 1420 nm
Petermann II area 36.4 μm^2
Non-linear area 35.2 μm^2

The fibre as represented in Figures 3 and 4 exhibits a dispersion of +3.57 $\text{ps nm}^{-1}\text{km}^{-1}$ at $\lambda = 1530$ and
30 +3.35 $\text{ps nm}^{-1}\text{km}^{-1}$ at $\lambda = 1570$.

Figure 5 shows a diagrammatic representation of the cross-section of a third form of optical fibre that embodies the features of the invention. Here again, this is similar to that shown in Figure 1 and like reference
35 numerals are employed to identify like elements.

Characteristic features of the fibre as illustrated in Figure 5 are summarised as:

- Diameter of cladding 10 125 μm
- Diameter of core region 11 4.0 μm
- 5 Diameter (12) of core-surrounding region 14 8.6 μm
- Dimension of each side core 13 1.59 x 4.37 μm
- Radial displacement of each side core 5.1 μm
- Refractive index peak of cladding 10 1.444
- Refractive index peak of core region 11 1.459
- 10 Refractive index peak of side cores 13 1.469 (graded)
- Refractive index peak of core-surrounding region 14 1.442

The refractive index profiles of the fibre of Figure 5, as seen in the directions of section planes A-A and B-B, are shown in Figures 6A and 6B respectively.

The fibre as represented in Figures 5 and 6 has a nonlinear mode area of 100 μm^2 , and the properties of the fibre at a wavelength of 1550 nm are summarised as follows:

- Dispersion +2.85 ps $\text{nm}^{-1}\text{km}^{-1}$
- 20 Dispersion slope -0.106 ps $\text{nm}^{-2}\text{km}^{-1}$
- Cutoff wavelength 1387 nm
- Petermann II area 52.4 μm^2
- Non-linear area 100.9 μm^2

It is to be observed that the fibre as represented in Figures 3 and 4 has a Petermann II area much smaller than the non-linear area. This facilitates low bend losses and permits the splicing of the fibre to a standard single mode fibre with low loss, typically less than 0.5dB.

The optical fibres as previously described in the specification and illustrated in the drawings are but a few of a vast number of fibres that may be produced, to meet various requirements, by varying one or more of the characteristic features of the invention as defined in the following statement of claim.

THE CLAIM

A single mode optical waveguide fibre having a light guiding region and a surrounding cladding, the light guiding region of the fibre including an axially disposed
5 central core region, which is located within a core-surrounding region, and at least three angularly separated regions which are disposed outwardly from the central core region, the central core region having an average refractive index n_0 , the core-surrounding region having an
10 average refractive index $n_1 < n_0$, and each of the angularly separated regions having an average refractive index $n_2 \neq n_1$.

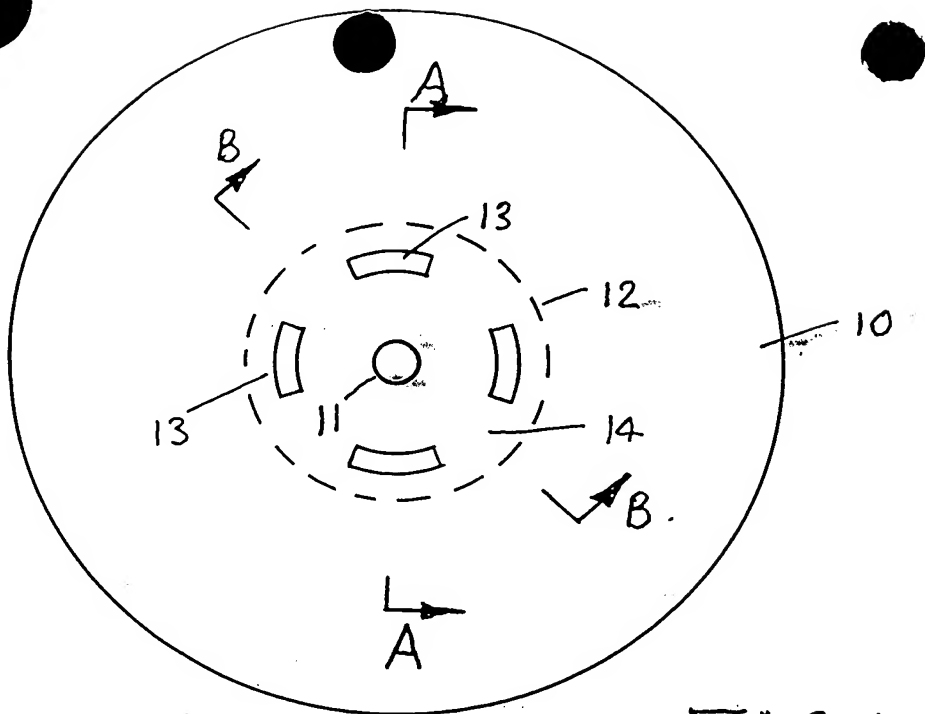


FIG. 1

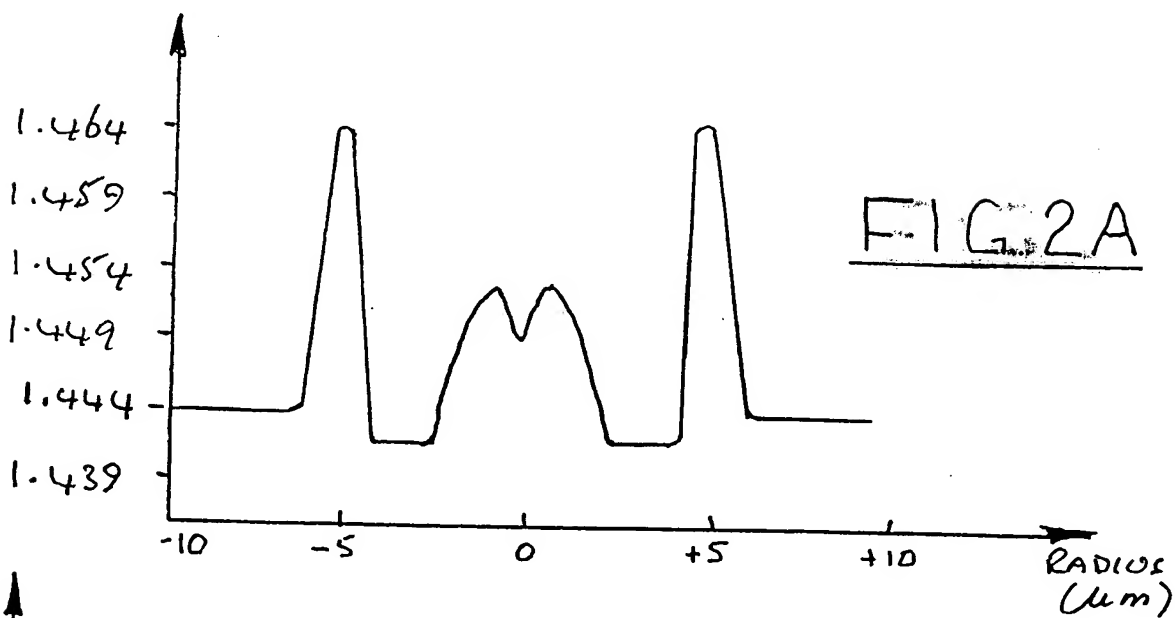


FIG. 2A

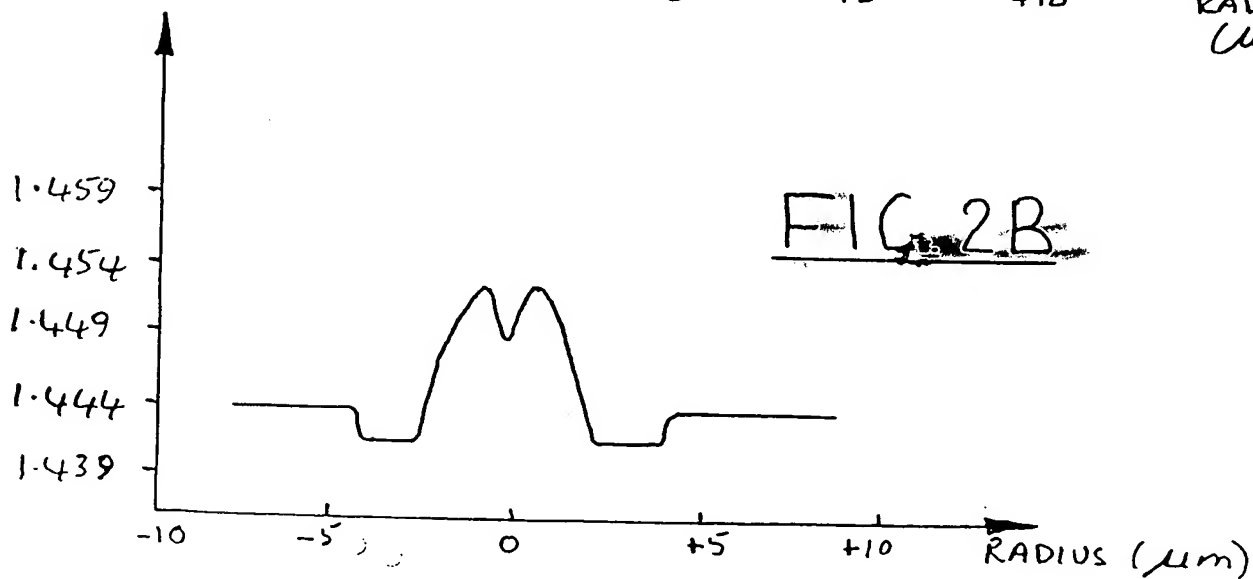


FIG. 2B

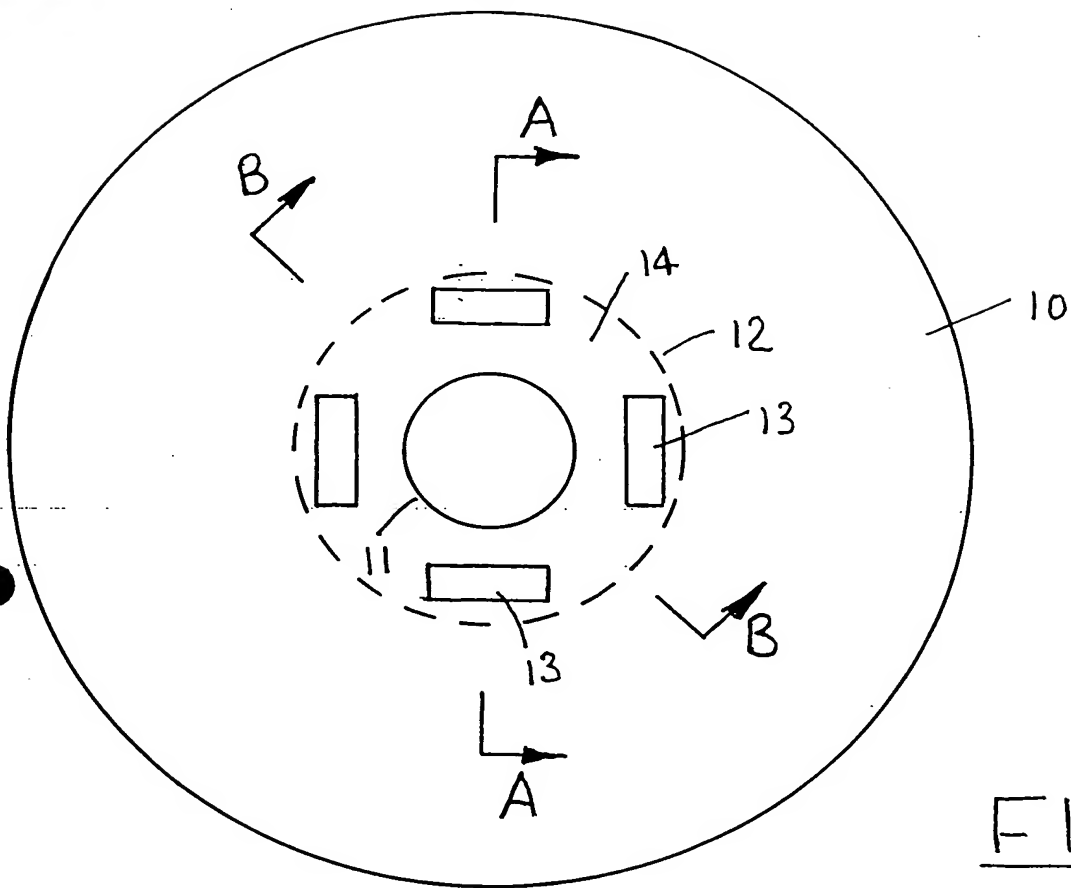
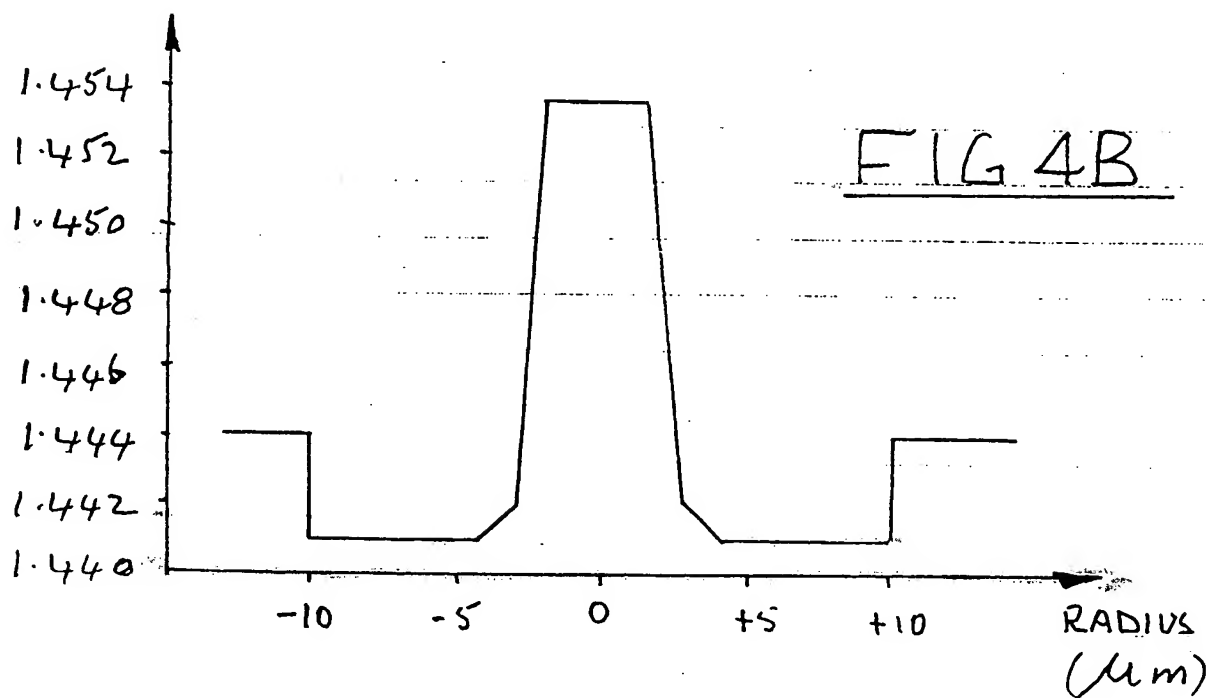
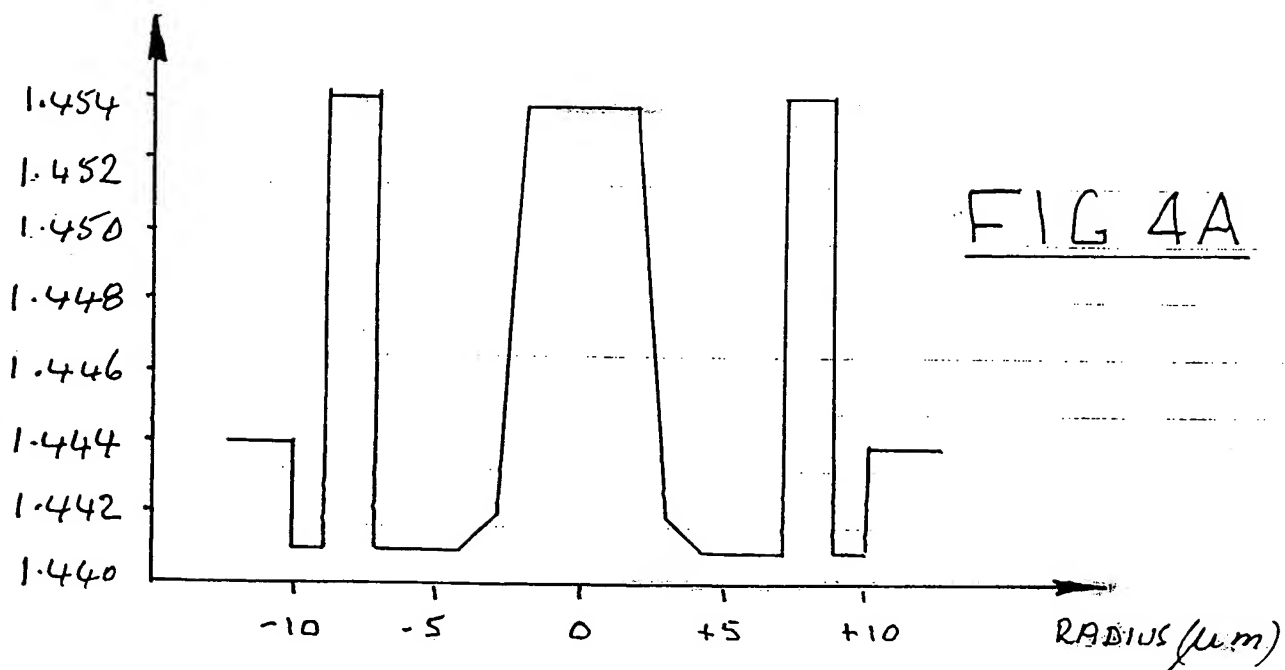


FIG. 3



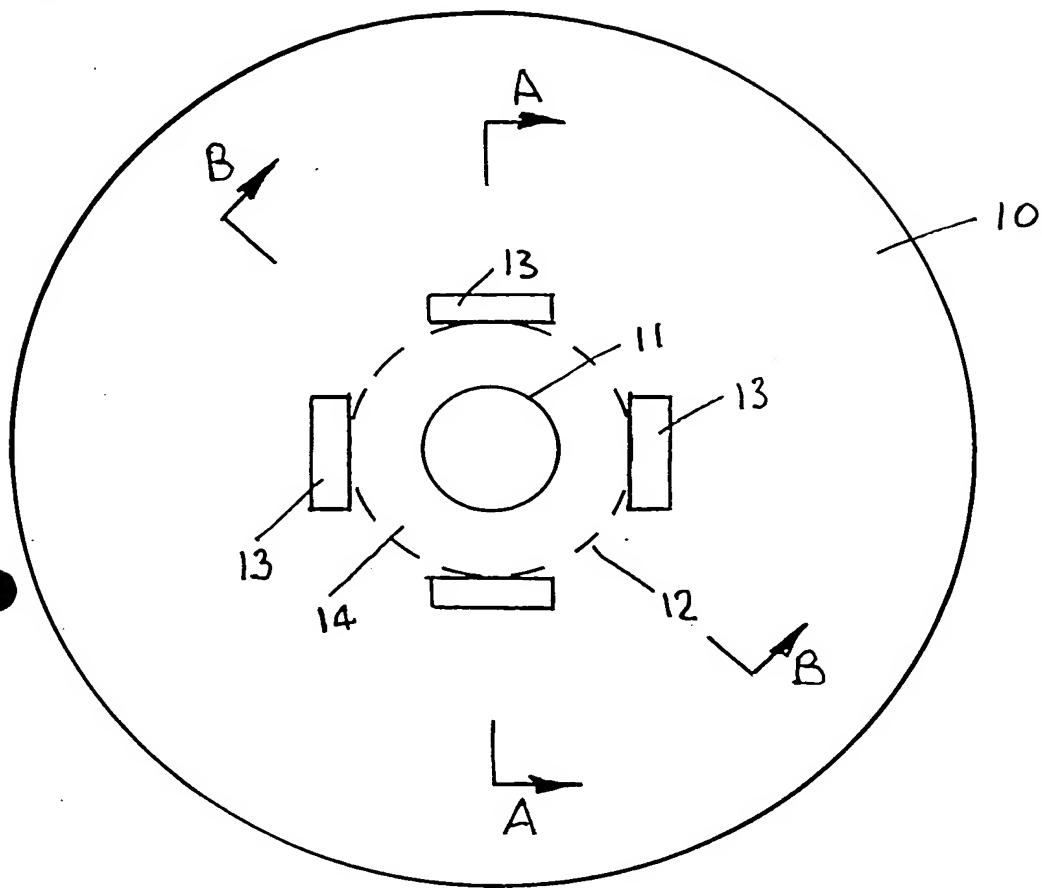
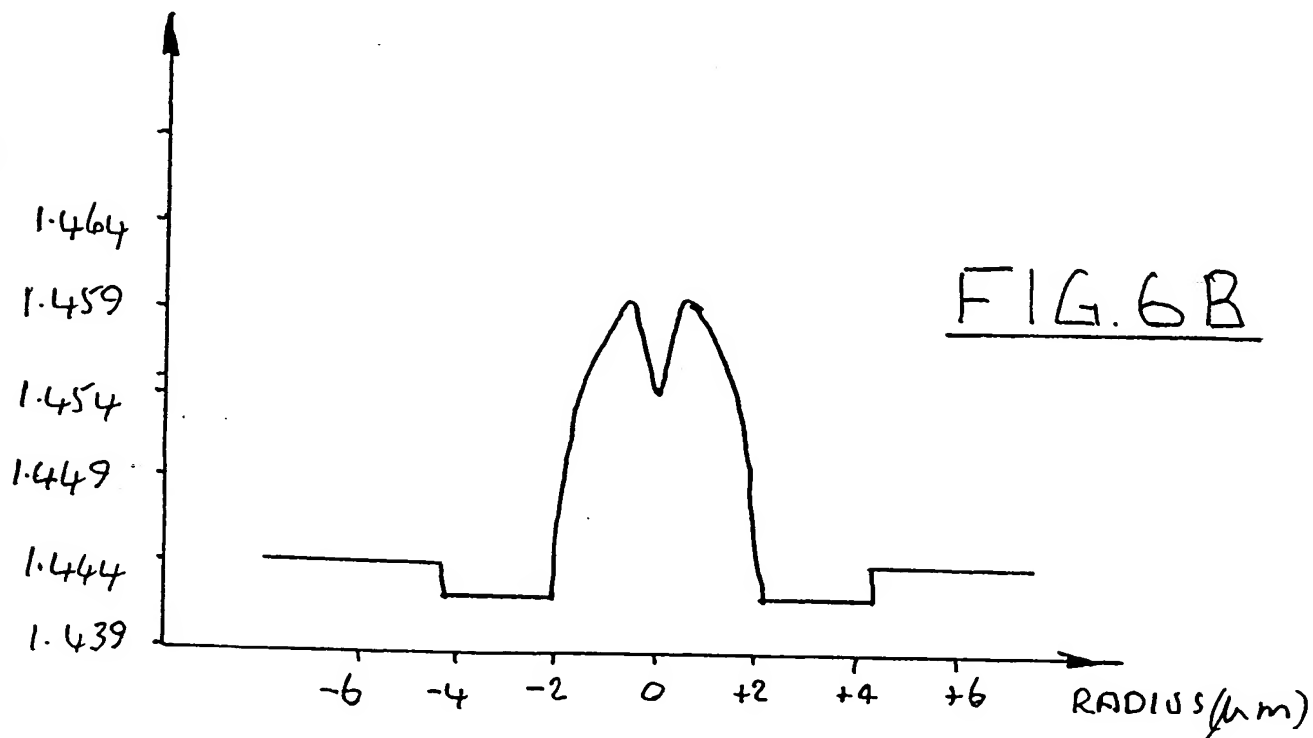
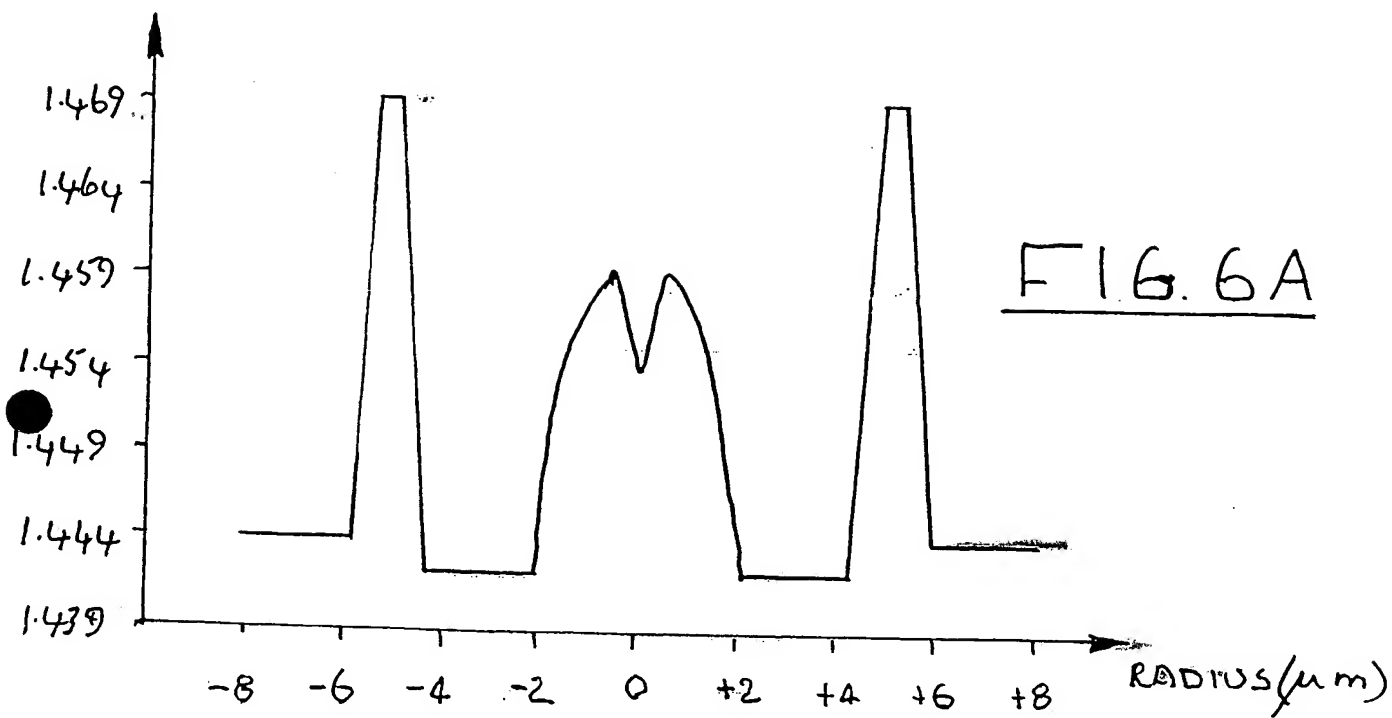


FIG. 5



PROPOSED CLAIMS

1. A single mode optical waveguide fibre having a light
guiding region and a surrounding cladding, the light
5 guiding region of the fibre including an axially
disposed central core region, which is located within
a core-surrounding region, and at least three
angularly separated side core regions which are
disposed outwardly from the central core region, the
10 central core region having an average refractive
index n_0 , the core-surrounding region having an
average refractive index $n_1 < n_0$, and each of the
angularly separated regions having an average
refractive index $n_2 \neq n_1$.
15
2. The optical waveguide fibre as claimed in claim 1
wherein the side core regions are positioned equi-
angularly around the central core region.
- 20 3. The optical waveguide fibre as claimed in claim 1 or
claim 2 wherein the side core regions have a common
cross-sectional configuration.
4. The optical waveguide fibre as claimed in claim 1 or
25 claim 2 wherein four said side core regions are
provided, all of which have a common cross-sectional
size and configuration.
5. The optical waveguide fibre as claimed in any one of
30 the preceding claims wherein each of the side core
regions has a generally arcuate configuration.

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